

R E G I S T E R



NASACT 2019

ANNUAL CONFERENCE

August 17-21 | Scottsdale, Arizona





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CONTINUING PROFESSIONAL EDUCATION

Learning Objectives:

At the conclusion of this conference, participants will be able to:

- Recount changes to the roles and responsibilities of state auditors, state comptrollers and state treasurers as these roles have evolved during the past year.
- Identify and discuss new standards and rules from the government standards setting bodies and regulatory agencies.
- Apply practical information learned through case studies from peer offices and organizations.
- Discuss state government financial management as it relates to the broader, national fiscal outlook.

Level of Knowledge: Overview.

Education or Experience Prerequisite: No prerequisites required.

Advance Preparation: No advance preparation required.

CPE: 15 credits have been recommended for the conference.

Delivery Method: Group-Live. All sessions include Q&A opportunities.

Attendance Requirements: In order to obtain CPE credit for this event, participants must submit attendance verification codes provided during each session.



The National Association of State Auditors, Comptrollers and Treasurers is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: <https://www.nasbaregistry.org/>.

P R E S I D E N T ' S M E S S A G E



D. CLARK PARTRIDGE
President of NASACT
State Comptroller
of Arizona

Dear NASACT Members, Corporate Affiliates and Guests,

It is my honor to be hosting the 104th NASACT Annual Conference in Scottsdale on August 17-21 at the Fairmont Scottsdale Princess Hotel. On behalf of the NASACT Training and Professional Development Committee and my Arizona co-hosts, Auditor General Lindsey Perry and State Treasurer Kimberly Yee, it is my pleasure to invite you to attend.

The NASACT Annual Conference brings together state auditors, comptrollers and treasurers from around the nation for in-depth education on government financial management issues. This year we continue the tradition of exciting general sessions. We will kick off the conference with Professor Emeritus of ASU and national ethics expert Dr. Marianne Jennings discussing the value of creating and sustaining an ethical culture. We will hear from William Glasgall, senior vice-president and director of state and local initiatives from the Volcker Alliance, and Dan White, director of government consulting and fiscal policy research at Moody's Analytics as they discuss looming economic and financial risks to state budgets. Gallup Consulting Director Faith Gaines will engage participants in understanding and applying their strengths to expand leadership capacity. Renowned fraud expert John Hall will present critical actions to proactively manage fraud prevention and detection. A distinguished panel of government technology experts will offer their advice on developing a strong cybersecurity program. And lastly, Beth Pearce, state treasurer of Vermont and member of the Executive Committee, will lead the popular Emerging Issues Roundtable on the last morning of the conference.

In addition to these keynote speakers, there will be 28 concurrent sessions covering many of your areas of educational interest and a number of networking events.

Arizona is a beautiful state. With choices like the Grand Canyon, one of the seven natural wonders of the world, enchanting red rock and slot canyons, the beauty of the Sonoran desert, an abundance of fabulous golf courses, and delicious southwestern cuisine, I encourage you to take this opportunity to explore the many natural, historical and cultural offerings you will find in the Phoenix metropolitan area and throughout the state.

Also, don't forget: NASACT members in good standing (or their designees) are eligible to receive up to \$1,500 to attend this conference. I hope you will take advantage of this travel assistance and join us this August in Arizona!

A handwritten signature in black ink that reads "D. Clark Partridge". The signature is written in a cursive, flowing style.



MARIANNE JENNINGS

Professor Emeritus,
W.P. Carey School of
Business, Arizona State
University

HOW LEADERS CAN CREATE AND SUSTAIN AN ETHICAL CULTURE

An ethical culture is one that self-sustains and recruits its future leaders. Current research shows that employees stay with an organization when they are comfortable with its values. Ethical leaders have clear ethical values and then create and maintain an ethical culture through their actions, conduct, and decisions. We'll look at the traits and practices of ethical leaders and how they avoid the treacherous territories of ethical missteps and lapses.

CPE field of study: Behavioral Ethics



WILLIAM GLASGALL

Senior Vice-President
and Director of State
and Local Initiatives,
The Volcker Alliance



DAN WHITE

Director
Government Consulting
and Fiscal Policy
Research,
Moody's Analytics

RISKS TO STATE BUDGETS IN FY 2020, 2021 AND BEYOND: IDENTIFICATION, QUANTIFICATION, AND AMELIORATION

This panel will discuss looming economic and fiscal risks to state budgets, as identified by the Volcker Alliance and Moody's Analytics, as well as opportunities to improve budgetary practices. The presentations will draw upon the Volcker Alliance's exclusive state budget grades and other assessments as well as Moody's Analytics' economic forecasts and broad experience working with states and municipalities.

CPE field of study: Finance



FAITH GAINES

Consulting Director,
Gallup

START WITH TALENT, FINISH WITH STRENGTH

In this engaging discussion, Gallup Consulting Director Faith Gaines will lay the foundation for attendees to not only understand Strengths based development, but how to take immediate and meaningful action with their unique talents. From Gallup's State of the American Workplace report, the dominant performance challenge facing leaders in the United States is transitioning their role from a "boss" to that of a "coach", and leaders must first understand their own unique talents in order to coach their employees. This keynote will lay the foundation for attendees to take meaningful steps in that important direction. This talk will outline the core philosophy of Strengths based development, how to productively apply one's own unique talents and how to understand one's CliftonStrengths® profile. Most importantly, this keynote will shift attendee understanding of their role as leaders in their workplaces, communities and families, because we must use our Strengths in all those areas of our life.

CPE Field of study: Personal Development



JOHN HALL, CPA
John Hall Consulting

FRAUD PREVENTION & DETECTION: THREE CRITICAL ACTIONS

The fraud risk management expectations on state audit, controller and treasury professionals grow every day. And with everything else that's on our plates, there's a genuine fear that fraud will slip through the cracks in our prevention efforts and blossom into major events if not found right away. In this session, participants will:

- Identify how to manage fraud risks efficiently – without adding to your existing workload
- Discover the three most critical actions to take to deter and prevent wrongdoing and fraud
- Explore common sense steps to find fraud fast
- Learn exactly what you need to do every day to manage your fraud risks

CPE field of study: Auditing (Governmental)



CHRIS BUSE
Deputy Legislative Auditor (MN), and
Former State CISO (MN)



DAN LOHRMAN
Chief Strategist and Security
Officer, Security Mentor, and
Former State CISO (MI)

DOOMED BY DESIGN OR POISED FOR SUCCESS: ASSESSING THE FOUNDATION OF YOUR CYBERSECURITY PROGRAM

Effectively managing cyber risks requires strong technical leadership and a government-wide commitment to success. Cybersecurity leaders are in high demand because they must have the knowledge and skills to bring together talented professionals, sophisticated tools, and processes in an environment where threats change daily. CISOs are expected to build a security foundation that includes a series of well-defined services, along with baseline policies and standards for those services. Leading a government security program is a daunting challenge, but program success also requires financial and organizational support from executive branch and legislative leaders. This means that CISOs must have polished communication skills to navigate governance processes to obtain resources. CISOs also must regularly communicate program gaps to governing bodies, using security metrics and strategic and tactical plans.

CPE field of study: Information Technology



AARON MUNN
Office of the Washington
State Auditor CISO



DOUG ROBINSON
Executive Director,
NASCIO

EMERGING ISSUES ROUNDTABLE

Always one of the most popular sessions of the conference, the emerging issues roundtable provides attendees the opportunity to discuss the most pressing, cross-cutting issues of the day.

NASACT First Vice President Beth Pearce will moderate the roundtable, offering attendees an opportunity to submit questions for discussion in advance or bring up issues that have been gleaned during the first two days of the conference.

CPE field of study: Finance



BETH PEARCE
State Treasurer (VT) and First
Vice President of NASACT

DAILY SCHEDULE

SATURDAY, AUGUST 17

2:00 P.M. – 5:00 P.M.
REGISTRATION

4:00 P.M. – 10:00 P.M.
WELCOMING HOSPITALITY SUITE AT FAIRMONT SCOTTSDALE
PRINCESS

SUNDAY, AUGUST 18

6:00 – 7:15 A.M.
5K FUN RUN/WALK

7:30 – 9:30 A.M.
BREAKFAST

8:30 – 9:50 A.M.
NASACT EXECUTIVE COMMITTEE MEETING

10:00 – 11:00 A.M.
MEETING OF NASACT'S COMMITTEE ON ACCOUNTING, REPORTING
AND AUDITING (CARA)

10:00 A.M. – 5:00 P.M.
REGISTRATION



Non-Technical Activities



Technical Sessions

11:10 A.M. – 6:45 P.M.

SEDONA PINK JEEP OUTING Optional, see page 7 for details.

11:10 — Depart hotel
1:40 — Arrive in Sedona
1:45 – 4:15 — Pink Jeep Tour
6:45 — Arrive back at hotel

7:00 – 9:00 P.M.
PRESIDENT'S NIGHT RECEPTION AT TORO

See page 7 for details.

MONDAY, AUGUST 19

7:00 A.M.
BREAKFAST

ALL DAY (TIMES TBA)
GUEST PROGRAM - TALIESEN WEST

See page 9 for details.

8:00 – 8:45 A.M.
OPENING CEREMONIES

8:45 – 9:35 A.M.
GS#1. HOW LEADERS CAN CREATE AND SUSTAIN AN ETHICAL
CULTURE

Dr. Marianne Jennings, Professor Emeritus, W.P. Carey School of Business,
Arizona State University

9:55 – 11:10 A.M.

CONCURRENT SESSIONS

- CS#1. Modern ERP: The Industry Platform Approach
 - CS#2. Using Technology Effectively Through Human-Centered Design
 - CS#3. The Future of Work in a Finance World
 - CS#4. No Customizations: Is That Even Possible?
-

11:20 A.M. – 12:10 P.M.

CONCURRENT SESSIONS

- CS#5. Take Me to the Cloud! Idaho's 30-Year Journey from On-Premise to SaaS
 - CS#6. Ensuring Accuracy and Reliability of Data
 - CS#7. Audit Standards Update
 - CS#8. Path to Success: Steps Toward Statewide Grant Reporting Compliance
-

12:10 – 1:25 P.M.

LUNCH

1:25 – 2:40 P.M.

CONCURRENT SESSIONS

- CS#9. Fraud: Security and Electronic Payments and Early Warning Systems and Analytics
 - CS#10. Demystifying the Cloud
 - CS#11. GASB Update
 - CS#12. Federal Issues
-

3:00 – 3:50 P.M.

GS#2. RISKS TO STATE BUDGETS IN FY 2020, 2021 AND BEYOND: IDENTIFICATION, QUANTIFICATION, AND AMELIORATION

- William Glasgall, Senior Vice-President and Director of State and Local Initiatives, The Volcker Alliance
 - Dan White, Director, Government Consulting and Fiscal Policy Research, Moody's Analytics
-

4:00 – 4:45 P.M.

BUSINESS MEETINGS

NASC, NSAA & State Treasurers

6:30 – 8:30 P.M.

NETWORKING EVENT AT THE MUSICAL INSTRUMENT MUSEUM

See page 8 for details.

TUESDAY, AUGUST 20

7:00 A.M.

BREAKFAST

ALL DAY (TIMES TBA)

GUEST PROGRAM - DESERT BOTANICAL GARDEN

See page 9 for details.

Times are subject to change, as the final agenda is still being developed.



DAILY SCHEDULE

8:35 – 9:25 A.M.

GS#3. START WITH TALENT, FINISH WITH STRENGTH

Faith Gaines, Consulting Director, Gallup

9:35 – 10:25 A.M.

CONCURRENT SESSIONS

CS#13. Economic Risks on the Horizon? Red Flags and Mitigation

CS#14. Robotic Process Automation in the Public Sector: Achieving Results

CS#15. GASB 87: Are You Ready for Change?

CS#16. Recruiting, Retaining and Motivating Employees

10:45 A.M. – 12:00 P.M.

CONCURRENT SESSIONS

CS#17. Economic Report for the States

CS#18. Transparency 2.0: Leveraging Transparency Initiatives To Enhance Outcomes

CS#19. Intelligent Automation: Are You Still on the Sidelines?

CS#20. Importance of Security and Financial Controls in the Age of SaaS

12:00 P.M. – 1:05 P.M.

LUNCH

1:05 – 1:55 P.M.

CONCURRENT SESSIONS

CS#21. Performance Auditing: A Game Changer for the Profession?

CS#22. Debt/Banking Issues

CS#23. TBD

CS#24. Operational Efficiency Opportunities in State Government Payments: An In-depth Review of the State of New York Payment Flow Study

2:05 – 2:55 P.M.

CONCURRENT SESSIONS

CS#25. How Data and Insights Improve Operations and Transparency

CS#26. Cybersecurity: Are You Prepared?

CS#27. What You Can't See Can Cost You: How Well Are State and Local Governments Detecting and Managing Fraud?

CS#28. Data Sharing: How Can Governments Be More Successful in Sharing Relevant Data?

3:15 – 4:05 P.M.

GS#4. FRAUD PREVENTION AND DETECTION: THREE CRITICAL ACTIONS

John Hall, CPA, John Hall Consulting, Inc.

4:15 – 5:00 P.M.

NASACT BUSINESS MEETING

6:00 – 11:00 P.M.

STATE NIGHT DINNER, AWARDS & HALL OF FAME INDUCTION CEREMONY

WEDNESDAY, AUGUST 21

7:00 A.M.

BREAKFAST

8:35 – 9:50 A.M.

GS#5. DOOMED BY DESIGN OR POISED FOR SUCCESS: ASSESSING THE FOUNDATION OF YOUR CYBERSECURITY PROGRAM

- Chris Buse, Deputy Legislative Auditor (MN), Former Chief Information Security Officer (MM)
 - Dan Lohrman, Chief Strategist and Security Officer, Security Mentor, Former State Chief Information Security Officer (MI)
 - Aaron Munn, Chief Information Security Officer (WA)
 - Doug Robinson, Executive Director, National Association of State Chief Information Officers
-

10:10 – 11:00 A.M.

GS#6. EMERGING ISSUES ROUNDTABLE

Beth Pearce, NASACT First Vice-President and State Treasurer (VT)

11:00 A.M.

CLOSING REMARKS / CONCLUSION OF CONFERENCE

SATURDAY, AUGUST 17

OPENING HOSPITALITY SUITE

Welcome to Scottsdale! Come relax in one of the beautiful casitas at the Scottsdale Princess Resort. Enjoy some light refreshments and meet some new friends and reconnect with those you have already met. This is a great way to wind down after a day of travel.

(Attire: Casual)

SUNDAY, AUGUST 18

5K FUN RUN/WALK

NASACT's annual 5K Fun Run/Walk is a great way to start your day. In order to beat the heat and sun, the starting time will be a little earlier than usual. The starting line and finish line are located on hotel grounds.

(Attire: Athletic Apparel)

Optional Activity

PINK JEEP TOUR: SEDONA

Plan to arrive in Scottsdale early and join other conference attendees on a half-day excursion to Sedona, Arizona, where you will be treated to a "Pink Jeep" experience through the beautiful landscape of the desert. Note: the drive to and from Sedona is approximately 2 1/2 hours each way with about a 2-hour jeep tour.

NOTE: This off-road tour is not recommended for anyone with back or neck injuries or are pregnant. All participants will be required to sign a waiver of liability prior to the tour.

(Attire: Casual)

PRESIDENT'S NIGHT AT TORO

Join NASACT President Clark Partridge for a casual reception at Toro, one of Scottsdale Princess's restaurants overlooking the beautiful championship TPC golf course.

(Attire: Casual)



Pink Jeep tour in Sedona



Championship TPC golf course at the Fairmont Scottsdale Princess

NETWORKING & SPECIAL ACTIVITIES

MONDAY, AUGUST 19

EVENT AT MUSICAL INSTRUMENT MUSEUM

The Monday night networking event will be held at the Musical Instrument Museum – the world’s only global musical instrument venue.

The collection of over 15,000 musical instruments and associated objects includes examples from nearly 200 countries, territories, representing every inhabited continent.

(Attire: Casual)

TUESDAY, AUGUST 20

STATE NIGHT DINNER & HALL OF FAME INDUCTION CEREMONY

The State Night Dinner will be held in the Palomino Ballroom of the Fairmont Princess Hotel. Enjoy a reception, dinner and live entertainment.

We’ll also announce the 2019 inductees into the NASACT Hall of Fame.

(Attire: Business/Cocktail Attire)



Musical Instrument Museum



Exhibit at the Musical Instrument Museum



Palomino Ballroom exterior

GUEST PROGRAM: MONDAY, AUGUST 19

TALIESEN WEST — franklloydwright.org/site/taliesin-west

On Monday, registered guests will be treated to a 1½ hour guided tour of Taliesin West, Frank Lloyd Wright's winter home and school in the desert from 1937 until his death in 1959 at the age of 91.

For Wright, a truly organic building developed from within outwards and was thus in harmony with its time, place, and inhabitants. To that end, Wright designed furniture, rugs, fabrics, art glass, lighting, dinnerware, and graphic arts; visitors will experience many of these designs at Taliesin West.

Lunch will follow at Oregano's, a local pizzeria in downtown Scottsdale.



Taliesin West



Lunch in downtown Scottsdale.

GUEST PROGRAM: TUESDAY, AUGUST 20

DESERT BOTANICAL GARDEN — www.dbg.org

Think the desert is all dirt and tumbleweeds? Think again. Desert Botanical Garden is home to thousands of species of cactus, trees and flowers from all around the world. On Tuesday, registered guests will travel to the garden for a one-hour tour of this Arizona treasure.

There will be time for shopping, followed by lunch at Gertrude's located just inside the Desert Botanical Garden. Gertrude's cuisine features ingredients directly from the farm and pasture to the plate. The menu showcases local ingredients from artisans and farmers around Arizona and the Southwest. Gertrude's was named for Mrs. Gertrude Divine Webster, an environmentalist ahead of her time, who founded the Desert Botanical Garden in 1939.



Cactus flowers in bloom at the Desert Botanical Garden.



Gertrude's is known for seasonally inspired cuisine.

FAIRMONT SCOTTSDALE PRINCESS

Hotel Cut-Off — July 26

The 2019 NASACT Annual Conference will be held at the beautiful **Fairmont Scottsdale Princess Resort**. The negotiated conference rate is \$139/night, plus applicable taxes. **The cutoff date for this rate is July 26, 2019.** To reserve a room, call (800) 344-4758 and ask for the NASACT Annual Conference group rate.

Room blocks often sell out prior to the cut-off date, so we recommend that you book your hotel reservations early!



TRAVEL ASSISTANCE FOR NASACT MEMBERS

NASACT members in good standing (or their designees) are eligible to receive up to \$1,500 in travel assistance to attend the 2019 NASACT Annual Conference.

All assistance will be provided in the form of a reimbursement after the conference.

GENERAL INFORMATION ABOUT ARIZONA

- www.visitarizona.com www.visitphoenix.com www.experiencescottsdale.com



GENERAL INFO & FEES

TRANSPORTATION

The Fairmont Scottsdale Princess Resort is located 24.5 miles from the Phoenix Sky Harbor International Airport (PHX). Transportation options include:

- Taxi service is approximately \$45 - \$50
- Shuttle services – Transtyle Sedan: \$65 - \$85 per sedan (for reservations, call (800) 410-5479)
- Uber and Lyft are available

CONFERENCE ATTIRE

Fun Run: Athletic attire is appropriate for the 5K Fun Run/Walk.

Pink Jeep Tour: Casual attire and comfortable shoes are recommended.

Guest Program: Casual attire and comfortable shoes are recommended.

Conference Sessions: Business casual attire is appropriate.

Sunday Night Event: Casual attire is appropriate for the reception at Toro.

Monday Night Event: Casual attire is appropriate for the event at the Musical Instrument Museum.

Tuesday State Night Dinner & Hall of Fame Induction: Cocktail or business attire is appropriate for Tuesday night.

REGISTRATION FEES

Registration fees for the 2019 conference are:

- Members and Members' Staff: \$700
- Lifetime Members: \$250
- Other Government/Agencies: \$825
- Non-Government: \$1,200
- Guests (age 18 and over): \$250
- Guests (under 18): \$75

NOTE: The guest fees include the tours on Monday and Tuesday, as well as all meals and social events throughout the conference.

QUESTIONS & MORE INFORMATION

Registration and additional information will be available at a later date at www.nasact.org.

General questions about the conference may be directed to Pat Hackney at phackney@nasact.org or (859) 276-1147.

Fairmont Scottsdale Princess Resort





NASACT

National Association of State
**Auditors, Comptrollers
and Treasurers**

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